

BEST AVAILABLE COPY

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/323.206	06/01/99	BANDY	W 1639.0010001

0292/0701

STERNE-KESSLER GOLDSTEIN AND FOX PLLC
1100 NEW YORK AVENUE NW SUITE 600
WASHINGTON DC 20005

NOT ASSIGNED

DATE MAILED ²⁷⁶⁵ 7/6 bp

07/01/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of Items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of **\$65.00** for a small entity in compliance with 37 CFR 1.27, or ☐ **\$130.00** for a non-small entity, must also be timely submitted in reply to this **NOTICE** to avoid abandonment.

If an required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65-.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
- ☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

 for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 13. The oath or declaration:
☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1. 63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐
9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

A copy of this note is being furnished to the following:

D. Durham

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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Sector #

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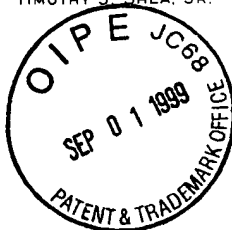
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ANDREA J. KAMAGE**
NANCY J. DEGEN**

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**REGISTERED PATENT AGENTS



September 1, 1999

WRITER'S DIRECT NUMBER:
(202) 371-2677

INTERNET ADDRESS:
RSOKOHL@SKGF.COM

Assistant Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

Re: U.S. Utility Patent Application
Appl. No. 09/323,206; Filed: June 1, 1999
For: **System and Method for Electronic Inventory**
Inventor(s): Bandy *et al.*
Our Ref: 1689.0010001

Sir:

In reply to the "Notice to File Missing Parts of Application--Filing Date Granted," dated July 1, 1999, Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Copy of the Notice to File Missing Parts;
2. Fee Transmittal (Form PTO/SB/17) (*in duplicate*);
3. Copy of the executed combined Declaration and Power of Attorney, as filed in U.S. Appl. No. 08/975,969;
4. Request for Refund (*in duplicate*);
5. Copy of return postcard, receipt of which is acknowledged by official USPTO date stamp;
6. Information Disclosure Statement;

Assistant Commissioner for Patents
September 1, 1999
Page 2

7. Form PTO-1449, citing 65 documents;
8. Return postcard; and
9. Our Check No. 25272 for \$65.00 to cover surcharge for late filing of Declaration.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

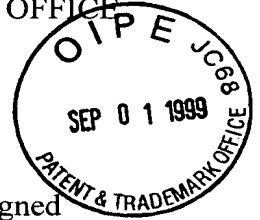
Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert Sokohl
Attorney for Applicants
Registration No. 36,013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of:

Bandy *et al.*

Appl. No. 09/323,206

Filed: June 1, 1999

For: **System and Method for Electronic Inventory**

Art Unit: 2765

Examiner: To be assigned

Atty. Docket: 1689.0010001

Request for Refund

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

It is requested that \$65.00 be credited to our Deposit Account No. 19-0036. It is asserted that credit for the following is due:

\$65.00 for the surcharge for late filing of the Declaration.

Credit is due for the following reasons:

The following documents were filed in the U.S. Patent and Trademark Office on June 1, 1999:

1. SKGF Cover Letter (*in duplicate*);
2. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
3. U.S. Utility Patent Application entitled:

System and Method for Electronic Inventory

the application consisting of:

- a. A specification containing:
 - (i) 25 pages of description prior to the claims;
 - (ii) 19 pages of claims (91 claims);
 - (iii) a one (1) page abstract;
- b. 10 sheet(s) of drawings: (Figures 1-10);
- c. A copy of the executed combined Declaration and Power of Attorney, as filed in U.S. Appl. No. 08/975,969;

4. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
5. Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
6. A Preliminary Amendment;
7. Two (2) return postcards; and
8. Our check No. 24551 for \$380.00 to cover the application filing fee.

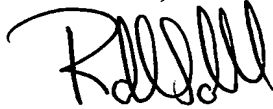
The U.S. Patent and Trademark Office issued a Notice to File Missing Parts alleging that the Declaration was missing from the above-captioned application filing.

Applicants respectfully submit that a copy of the Declaration *was* submitted with the application. A date-stamped postcard was returned to our courier by the U.S. Patent and Trademark Office mailroom acknowledging receipt of the documents listed above. A copy of the date-stamped postcard is submitted concurrently herewith.

In view of the facts provided above, Applicants respectfully submit that their assertion that a credit is due is proper and request that the surcharge amount of \$65.00 be credited to Deposit Account No. 19-0036. A duplicate copy of this Request is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Robert Sokohl
Attorney for Applicants
Registration No. 36,013

Date: _____

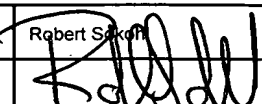
9/1/99

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Suite 600
Washington, D.C. 20005-3934
(202) 371-2600

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL		Complete if Known	
		Application Number	09/323,206
Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments <u>must</u> be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.		Filing Date	June 1, 1999
		First Named Inventor	William R. Bandy
		Examiner Name	To be assigned
		Group / Art Unit	2765
		Attorney Docket Number	1689.0010001
TOTAL AMOUNT OF PAYMENT	(\$)65.00		

METHOD OF PAYMENT (check one)	FEE CALCULATION (continued)
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 19-0036 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance	3. ADDITIONAL FEES Large Fee Code Entity Fee (\$) Small Fee Code Entity Fee (\$) Fee Description Fee Paid 105 130 205 65 Surcharge - late filing fee or oath 65.00 127 50 227 25 Surcharge - late provisional filing fee or cover sheet 139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for reexamination 112 920* 112 920* Requesting publication of SIR prior to Examiner action 113 1,840* 113 1,840* Requesting publication of SIR after Examiner action 115 110 215 55 Extension for reply within first month 116 380 216 190 Extension for reply within second month 117 870 217 435 Extension for reply within third month 118 1,360 218 680 Extension for reply within fourth month 128 1,850 228 925 Extension for reply within fifth month 119 300 219 150 Notice of Appeal 120 300 220 150 Filing a brief in support of an appeal 121 260 221 130 Request for oral hearing 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable 141 1,210 241 605 Petition to revive - unintentional 142 1,210 242 605 Utility issue fee (or reissue) 143 430 243 215 Design issue fee 144 580 244 290 Plant issue fee 122 130 122 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications 126 240 126 240 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per property (times number of properties) 146 760 246 380 Filing a submission after final rejection (37 CFR 1.129(a)) 149 760 249 380 For each additional invention to be examined (37 CFR 1.129(b)) Other fee (specify): Other fee (specify): *Reduced by Basic Filing Fee Paid
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check No. 25272 <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other* *Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.	
FEE CALCULATION	
1. BASIC FILING FEE Large Fee Code Entity Fee (\$) Small Fee Code Entity Fee (\$) Fee Description Fee Paid 101 760 201 380 Utility filing fee 00.00 106 310 206 155 Design filing fee 107 480 207 240 Plant filing fee 108 760 208 380 Reissue filing fee 114 150 214 75 Provisional filing fee SUBTOTAL (1) (\$) 00.00	
2. EXTRA CLAIM FEES Total Claims 16 - 20** = 0 X 9 = 00.00 Indep. Claims 1 - 3** = 0 X 39 = 00.00 Multiple Dependent Claims = ** or number previously paid, if greater; For Reissues, see below Large Fee Code Entity Fee (\$) Small Fee Code Entity Fee (\$) Fee Description 103 18 203 9 Claims in excess of 20 102 78 202 39 Independent claims in excess of 3 104 260 204 130 Multiple dependant claim 108 78 209 39 **Reissue independent claims over original patent 110 18 210 9 **Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$) 00.00	
	SUBTOTAL (3) (\$) 65.00

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Robert Sakoff	Reg. Number	36,013
Signature		Deposit Acct. User ID	
Date	9/1/99		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Applicant: Bandy et al.

Due Date: N/A

Art Unit: To be assigned

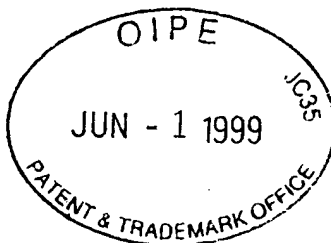
Application No.: To be assigned

Examiner: To be assigned

Filed: Herewith

Docket: 1689.0010001

Atty: RES



For: **System and Method for Electronic Inventory**

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. SKGF Cover Letter (*in duplicate*);
2. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
3. U.S. Utility Patent Application consisting of: A specification containing: 25 pages of description prior to the claims; 19 pages of claims (91 claims); a one (1) page abstract; 10 sheet(s) of drawings: (Figures 1-10); A copy of the executed combined Declaration and Power of Attorney, as filed in U.S. Appl. No. 08/975,969;
4. PTO Fee Transmittal Form PTO/SB/17 (*in duplicate*);
5. Authorization to Treat a Reply as Incorporating an Extension of Time under 37 C.F.R. § 1.136(a)(3) (*in duplicate*);
6. A Preliminary Amendment; and
7. Our check No. 24551 for \$380.00 to cover the application filing fee.

Please Date Stamp And Return To Our Courier

Box: Patent Application